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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>		09/856,933
<b>Filing Date</b>		May 30, 2001
<b>First Named Inventor</b>		Peter SONDERMANN et al.
<b>Group Art Unit</b>		Unknown
<b>Examiner Name</b>		Unknown
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> HUBR 1189 – PFF/DR

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) PTO Form 1449 PCT Search Report		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual Name</b>	FULBRIGHT & JAWORSKI L.L.P. David Rubin
<b>Signature</b>	<i>David Rubin</i>
<b>Date</b>	October 22, 2001

## Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: October 22, 2001

Signature: *Fani Malikouzakis* (Fani Malikouzakis)



HUBR 1189 - PFF/DR (10104500)

**CERTIFICATE OF MAILING**  
**37 C.F.R. § 1.8**

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10/22/01

Date

Fani Malikouzakis

Name: Fani Malikouzakis

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Peter SONDERMANN et al.  
Serial No. : 09/856,933  
Filed : May 30, 2001  
For : RECOMBINANT SOLUBLE FC RECEPTORS  
Art Unit : Unknown  
Examiner : Unknown

October 22, 2001

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed

as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).


These references were cited in the corresponding International Application No. PCT/EP99/09440. Copies of the cited references are not enclosed.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). Applicant respectfully requests that the listed documents be made of record in the present case.

Applicants believe no fee is required for filing these papers. However, the Commissioner is hereby authorized to deduct any fee due, or credit any overpayment to Deposit Account No. 500624.

Respectfully submitted,

**FULBRIGHT & JAWORSKI L.L.P.**

By   
David Rubin  
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New York, New York 10103  
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Form PTO-1449 (Modified)  
(Rev. 11/92)U.S. Dept. of Commerce  
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09/856,933

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT

Peter SONDERMANN et al.

FILING DATE

May 30, 2001

GROUP

Unknown

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
	5,623,053	04/22/1997	Louis N. GASTINEL et al.			

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	2 739 560	04/11/1997	FR				
	0 614 978	09/14/1994	EP				
	96 40199	12/19/1996	WO				
	95 09002	04/06/1995	WO				
	0 791 653	08/27/1997	EP				
	0 321 842	05/28/1989	EP				
	0 259 615	03/16/1988	EP				
	99 05271	02/04/1999	WO				

**OTHER DOCUMENTS**

(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	Jerome GALON et al., "Ligands and Biological Activities of Soluble Fcγ Receptors", <u>Immunology Letters</u> , 44:175-181 (January 1995)
	Janet M. ALLEN et al., "Isolation and Expression of Functional High-Affinity Fc Receptor Complementary DNAs", <u>Journal of Crystal Growth</u> , 93:1-4:378-381 (November 1988 - December 1988)
	Wilhelm P. BURMEISTER et al., "Crystal Structure at 2.2 Å Resolution of the MHC-Related Neonatal Fc Receptor", <u>Nature</u> , 372:6504:336-343 (November 1994)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.